| FORM 4 |  |
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[] Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. *See* Instruction 1(b).

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

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# STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

| 1. Name and Address of Reporting Person <sup>*</sup> | 2. Issuer Name and Ticker or Trading Symbol       | 5. Relationship of Reporting Person(s) to Issuer                                     |
|------------------------------------------------------|---------------------------------------------------|--------------------------------------------------------------------------------------|
|                                                      |                                                   | (Check all applicable)                                                               |
| KELLOGG W K FOUNDATION                               | KELLOGG CO [ K ]                                  |                                                                                      |
| TRUST                                                |                                                   | DirectorX 10% Owner                                                                  |
| (Last) (First) (Middle)                              | 3. Date of Earliest Transaction (MM/DD/YYYY)      | Officer (give title below) Other (specify below)                                     |
| C/O THE NORTHERN TRUST                               | 4/12/2021                                         |                                                                                      |
| COMPANY, 50 S. LASALLE                               |                                                   |                                                                                      |
| (Street)                                             | 4. If Amendment, Date Original Filed (MM/DD/YYYY) | 6. Individual or Joint/Group Filing (Check Applicable Line)                          |
| CHICAGO, IL 60603<br>(City) (State) (Zip)            |                                                   | Form filed by One Reporting Person<br>X Form filed by More than One Reporting Person |

#### Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

|                                   |                |                                         |            |   |                                                                         |        |           | , i i i i i i i i i i i i i i i i i i i                                                             |                                          |                                                           |
|-----------------------------------|----------------|-----------------------------------------|------------|---|-------------------------------------------------------------------------|--------|-----------|-----------------------------------------------------------------------------------------------------|------------------------------------------|-----------------------------------------------------------|
| 1.Title of Security<br>(Instr. 3) | 2. Trans. Date | 2A. Deemed<br>Execution<br>Date, if any | (Instr. 8) |   | 4. Securities Acquired (A) or<br>Disposed of (D)<br>(Instr. 3, 4 and 5) |        |           | 5. Amount of Securities Beneficially Owned<br>Following Reported Transaction(s)<br>(Instr. 3 and 4) | 6.<br>Ownership<br>Form:                 | <ol> <li>Nature<br/>of Indirect<br/>Beneficial</li> </ol> |
|                                   |                | Date, if any                            |            |   | (1104.5,                                                                | (A) or |           |                                                                                                     | Direct (D)<br>or Indirect<br>(I) (Instr. | Ownership                                                 |
|                                   |                |                                         | Code       | V | Amount                                                                  | (D)    | Price     |                                                                                                     | 4)                                       |                                                           |
| Common Stock                      | 4/12/2021      | 4/12/2021                               | s          |   | 83333                                                                   | D      | \$63.2212 | 59881836 <u>(1)</u>                                                                                 | D                                        |                                                           |
|                                   |                |                                         |            | - |                                                                         | -      |           |                                                                                                     |                                          |                                                           |

### Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivate | 2.          | 3. Trans. | 3A. Deemed   | 4. Trans.  | Code | 5. Number             | of     | 6. Date Exer                   | cisable and | 7. Tit                | le and Amount of              | 8. Price of | 9. Number of   | 10.         | 11. Nature  |
|----------------------|-------------|-----------|--------------|------------|------|-----------------------|--------|--------------------------------|-------------|-----------------------|-------------------------------|-------------|----------------|-------------|-------------|
| Security             | Conversion  | Date      | Execution    | (Instr. 8) |      | Derivative Securities |        | ive Securities Expiration Date |             | Securities Underlying |                               | Derivative  | derivative     | Ownership   | of Indirect |
| (Instr. 3)           | or Exercise |           | Date, if any |            |      | Acquired (            | A) or  |                                |             | Deriv                 | ative Security                | Security    | Securities     | Form of     | Beneficial  |
|                      | Price of    |           |              |            |      | Disposed o            | f (D)  |                                |             | (Instr                | . 3 and 4)                    | (Instr. 5)  | Beneficially   | Derivative  | Ownership   |
|                      | Derivative  |           |              |            |      | (Instr. 3, 4          | and 5) |                                |             | -                     |                               |             | Owned          | Security:   | (Instr. 4)  |
|                      | Security    |           |              |            |      | -                     |        |                                |             |                       |                               |             | Following      | Direct (D)  |             |
|                      | -           |           |              |            |      |                       |        | Date                           | Expiration  |                       | Amount or Number of           |             | Reported       | or Indirect |             |
|                      |             |           |              |            |      |                       |        | Exercisable                    | Date        | Title                 | Amount or Number of<br>Shares |             | Transaction(s) | (I) (Instr. |             |
|                      |             |           |              | Code       | V    | (A)                   | (D)    |                                |             |                       |                               |             | (Instr. 4)     | 4)          |             |

#### **Explanation of Responses:**

(1) 59,881,836 shares were owned following the reported transaction by the W.K. Kellogg Foundation Trust (the "Trust") of which LaJune Montgomery Tabron, Steve Cahillane, Ramon Murguia and The Northern Trust Company are Trustees and the W.K. Kellogg Foundation (the "Foundation") is the sole beneficiary.

#### **Remarks:**

The sales reported on this Form 4 were made pursuant to trading instructions given by the W.K. Kellogg Foundation Trust on May 19, 2020 that are intended to comply with Rule 10b5-1(c) under the Securities and Exchange Act of 1934.

#### **Reporting Owners**

| Reporting Owner Name / Address | Relationships |           |         |       |  |  |  |
|--------------------------------|---------------|-----------|---------|-------|--|--|--|
| Reporting Owner Name / Address | Director      | 10% Owner | Officer | Other |  |  |  |
| KELLOGG W K FOUNDATION TRUST   |               |           |         |       |  |  |  |
| C/O THE NORTHERN TRUST COMPANY |               | х         |         |       |  |  |  |
| 50 S. LASALLE                  |               | Λ         |         |       |  |  |  |
| CHICAGO, IL 60603              |               |           |         |       |  |  |  |
| KELLOGG W K FOUNDATION         |               |           |         |       |  |  |  |
| ONE MICHIGAN AVENUE EAST       |               | Х         |         |       |  |  |  |
| BATTLE CREEK, MI 49017         |               |           |         |       |  |  |  |

#### Signatures

Craig R. Carberry, Associate General Counsel, The Northern Trust Company, as Corporate Trustee of the W.K. Kellogg Foundation Trust and signing for the W.K. Kellogg Foundation pursuant to a Power of Attorney dated August 30, 2017 Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

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